

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	Application of:)	
AKI Y	YOKOTE)	
Serial	No.:)	Examiner:
Filing	Date: April 6, 2001)	Group Art Unit No.
For	METHOD FOR IMPLEMENTING IP SECURITY IN MOBILE IP)	
	NETWORKS		

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Applicant brings to the attention of the Examiner in charge of the above-captioned case the following information and references that may be relevant to the examination of the above-captioned patent application.

OTHER ART

- (1) C. Perkins, "IP Mobility Support", IETF Network Working Group RFC 2002, October 1996
- (2) David B. Johnson, "Mobility Support in IPv6" (draft-ietf-mobileip-ipv6-13.txt), IETF Mobile IP Working Group Internet Draft, November 17, 2000
- (3) S. Kent, "Security Architecture for the Internet Protocol", IETF Network Working Group RFC 2401, November 1998

FORM PTO-1449	SERIAL NO.	CASE NO.
		10745/6
LIST OF PATENTS AND PUBLICATIONS FOR	FILING DATE	GROUP ART UNIT _ ,
APPLICANT'S INFORMATION DISCLOSURE	April 6, 2001	
STATEMENT		9.4
(use several sheets if necessary)	APPLICANT(S): AKI YOKOTE	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

	J. O. W. 1 1 1 O 1 1				.c
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING ED DATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANS YES	LATION NO
				"		

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
	A1	C. Perkins, "IP Mobility Support", IETF Network Working Group RFC 2002,	
		October 1996	
	A2	David B. Johnson, "Mobility Support in IPv6" (draft-ietf-mobileip-ipv6-13.txt),	
•		IETF Mobile IP Working Group Internet Draft, November 17, 2000	
	A3	S. Kent, "Security Architecture for the Internet Protocol", IETF Network Working	
		Group RFC 2401, November 1998	
	A4	D. Maughan et al., "Internet Security Association and Key Management Protocol	
		(ISAKMP), IETF Network Working Group RFC 2408, November 1998	
-	A5	S. Deering et al., "Internet Protocol, Version 6 (IPv6) Specification", IETF	
		Network Working Group RFC 2460, December 1998	
	A6	T. Narten et al., "Neighbor Discovery for IP Version 6 (IPv6)", IETF Network	
		Working Group RFC 2461, December 1998	
	A7	S. Thomson et al., "IPv6 Stateless Address Autoconfiguration", IETF Network	
		Working Group RFC 2462, December 1998	
	A8	S. Kend et al., "IP Authentication Header", IETF Network Working Group RFC	
		2402, November 1998	
	A9	S. Kend et al., "IP Encapsulating Security Payload (ESP)", IETF Network Working	
		Group RFC 2406, November 1998	

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Rev. Dec.-99 C:\ALL\10745\6\1449.doc

Page 2 of 2

FORM PTO-1449	SERIAL NO.	CASE NO.
		10745/6
LIST OF PATENTS AND PUBLICATIONS FOR	FILING DATE	GROUP ART UNIT
APPLICANT'S INFORMATION DISCLOSURE	April 6, 2001	:
STATEMENT	1	
(use several sheets if necessary)	APPLICANT(S): AKI YOKOTE	

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
	A10	J. Kohl et al., "The Kerberros Network Authentication Service (V5)", IETF Network Working Group RFC 1510, September 1993	
	A11	Charles Perkins et al., "Route Optimization in Mobile IP (draft-ietf-mobileip-optim 10.txt)", IETF Mobile IP Working Group Internet Draft, November 15, 2000	
	A12	D. Harkins et al., "The Internet Key Exchange (IKE)", IETF Network Working Group RFC 2409, November 1998	

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

- (4) D. Maughan et al., "Internet Security Association and Key Management Protocol (ISAKMP), IETF Network Working Group RFC 2408, November 1998
- (5) S. Deering et al., "Internet Protocol, Version 6 (IPv6) Specification", IETF Network Working Group RFC 2460, December 1998
- (6) T. Narten et al., "Neighbor Discovery for IP Version 6 (IPv6)", IETF Network Working Group RFC 2461, December 1998
- (7) S. Thomson et al., "IPv6 Stateless Address Autoconfiguration", IETF Network Working Group RFC 2462, December 1998
- (8) S. Kend et al., "IP Authentication Header", IETF Network Working Group RFC < 2402, November 1998
- (9) S. Kend et al., "IP Encapsulating Security Payload (ESP)", IETF Network Working Group RFC 2406, November 1998
- (10) J. Kohl et al., "The Kerberros Network Authentication Service (V5)", IETF Network Working Group RFC 1510, September 1993
- (11) Charles Perkins et al., "Route Optimization in Mobile IP (draft-ietf-mobileipoptim 10.txt)", IETF Mobile IP Working Group Internet Draft, November 15, 2000
- (12) D. Harkins et al., "The Internet Key Exchange (IKE)", IETF Network Working Group RFC 2409, November 1998

Respectfully submitted,

Tadashi Horie

Registration No. 40,437

Attorney for Applicant

BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, ILLINOIS 60610 (312) 321-4200